

## Model Code of Conduct Complaints Statistics Lithgow City Council

### Number of Complaints

1	a	The total number of complaints <b>received</b> in the period about councillors and the general manager under the code of conduct	5
	b	The total number of complaints <b>finalised</b> in the period about councillors and the general manager under the code of conduct	5

### Overview of Complaints and Cost

2	a	The number of complaints <b>finalised at the outset</b> by alternative means by the general manager or Mayor	1
	b	The number of complaints <b>referred to the Office of Local Government</b> under a special complaints management arrangement	0
	c	The number of code of conduct complaints <b>referred to a conduct reviewer</b>	4
	d	The number of code of conduct complaints <b>finalised at preliminary assessment</b> by conduct reviewer	0
	e	The number of code of conduct complaints <b>referred back to GM or Mayor</b> for resolution after preliminary assessment by conduct reviewer	0
	f	The number of finalised code of conduct complaints <b>investigated by a conduct reviewer</b>	4
	g	The number of finalised code of conduct complaints <b>investigated by a conduct review committee</b>	0
	h	Number of finalised complaints investigated where there was found to be <b>no breach</b>	0
	i	Number of finalised complaints investigated where there was found to be <b>a breach</b>	4
	j	Number of complaints referred by the GM or Mayor <b>to another agency</b> or body such as the ICAC, the NSW Ombudsman, the Office or the Police	0
	k	Number of complaints being investigated that are <b>not yet finalised</b>	0
	l	The <b>total cost</b> of dealing with code of conduct complaints within the period made about councillors and the general manager including staff costs	\$22,629

## Preliminary Assessment Statistics

- 3 The number of complaints determined by the conduct reviewer at the preliminary assessment stage by each of the following actions:
- a To take no action 0
  - b To resolve the complaint by alternative and appropriate strategies 0
  - c To refer the matter back to the general manager or the Mayor, for resolution by alternative and appropriate strategies 0
  - d To refer the matter to another agency or body such as the ICAC, the NSW Ombudsman, the Office or the Police 0
  - e To investigate the matter 4
  - f To recommend that the complaints coordinator convene a conduct review committee to investigate the matter 0

## Investigation Statistics

- 4 The number of investigated complaints resulting in a determination that there was **no breach**, in which the following recommendations were made:
- a That the council revise its policies or procedures 0
  - b That a person or persons undertake training or other education 0
- 5 The number of investigated complaints resulting in a determination that there **was a breach** in which the following recommendations were made:
- a That the council revise any of its policies or procedures 0
  - b That the subject person undertake any training or other education relevant to the conduct giving rise to the breach 0
  - c That the subject person be counselled for their conduct 0
  - d That the subject person apologise to any person or organisation affected by the breach 4
  - e That findings of inappropriate conduct be made public 0
  - f In the case of a breach by the general manager, that action be taken under the general manager's contract for the breach 0
  - g In the case of a breach by a councillor, that the councillor be formally censured for the breach under section 440G of the Local Government Act 1993 2
  - h In the case of a breach by a councillor, that the matter be referred to the Office for further action 2
- 6 Matter referred or resolved after commencement of an investigation under clause 8.20 of the Procedures 0

## Categories of misconduct

7 The number of investigated complaints resulting in a determination that there was a breach with respect to each of the following categories of conduct:

a General conduct (Part 3)

4

b Conflict of interest (Part 4)

0

c Personal benefit (Part 5)

0

d Relationship between council officials (Part 6)

2

e Access to information and resources (Part 7)

0

## Outcome of determinations

8 The number of investigated complaints resulting in a determination that there was a breach in which the council failed to adopt the conduct reviewers recommendation

0

9 The number of investigated complaints resulting in a determination that there was a breach in which the council's decision was overturned following a review by the Office

0